



Western International Securities, Inc. (WIS) was founded in 1995 to combine the same full service of the nation's largest financial institutions with the personal touch that is missing in so many firms today. WIS provides a diverse range of accounting and financial services to include; Stocks, Bonds, Mortgage Backed, Government Agency, Asset Management, Retirement Accounts, Alternative Investments, Unit Investment Trusts, Cash Management and Insurance.

WIS is a member of the Financial Industry Regulatory Authority (**FINRA**), an independent, nongovernmental organization that writes and enforces the rules governing registered brokers and broker-dealer firms in the United States. In connection with fulfilling its mission to protect America's investors by making sure the broker-dealer industry operates fairly and honestly, FINRA has published Investor Alerts, Calculators and other Investment Tools.

WIS has compiled a list of these tools by topic for your convenience.
 NOTE: these and other tools can also be accessed directly at the FINRA website:
www.finra.org.

Tool	Link	How to Use the tool
FINRA Broker Check	https://brokercheck.finra.org/	FINRA recommends the use of this free tool to confirm whether investment professionals and firms are licensed.
Investment Professional Designations	https://www.finra.org/investors/professional-designations	Use this tool to research what it takes to earn and maintain an investment designation—and what the designation's acronym stands for.
Fund Analyzer	https://tools.finra.org/fund-analyzer/	Automatically compare fees and analyze information on over 30,000 mutual funds, exchange-traded funds, exchange-traded notes and money market funds.
529 Savings Plan Analyzer	https://tools.finra.org/529_calculator/main	Enter 529 fees and expenses from plan documents and this tool will analyze them to see how they affect return.



Tool	Link	How to Use the tool
FINRA Market Data	http://finra-markets.morningstar.com/MarketData/Default.jsp?sdkVersion=2.50.0	Find market information including stock quotes and information about corporate, municipal, treasury and agency bonds.
FINRA Arbitration Awards Online	https://www.finra.org/arbitration-mediation/arbitration-awards	Use this tool to research past arbitration awards.
SEC Action Lookup-Individuals	https://www.sec.gov/litigation/sec-action-look-up	Find out whether a person offering an investment opportunity has been named as a defendant in an action by the Securities and Exchange Commission.
Public Alert: Unregistered Listing Agencies	https://www.sec.gov/enforce/public-alerts	Check out the SEC's lists of unregistered entities that have been attempting to do business in the U.S., impersonators of actual firms and fictitious regulators.