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WIS has compiled a list of these tools by topic for your convenience.

Topic	Title	Find the Alert Here:
529 Plans	Updated: 529 Plans-School Yourself Before You Invest	https://www.finra.org/investors/alerts/college-savings-plans-school-yourself-you-invest
Annuities & Insurance	Pension or Settlement Income Streams—What You Need to Know Before Buying or Selling Them	https://www.finra.org/investors/alerts/pension-or-settlement-income-streams-what-you-need-know-buying-or-selling-them
Annuities & Insurance	Equity-Indexed Annuities: A Complex Choice	https://www.finra.org/investors/alerts/equity-indexed-annuities-complex-choice
Annuities & Insurance	Variable Annuities: Beyond the Hard Sell	https://www.finra.org/investors/alerts/variable-annuities-beyond-hard-sell
Annuities & Insurance	Seniors Beware: What You Should Know About Life Settlements	https://www.finra.org/investors/alerts/seniors-beware-what-you-should-know-about-life-settlements



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Bonds	Duration—What an Interest Rate Hike Could Do to Your Bond Portfolio	https://www.finra.org/investors/alerts/duration-what-interest-rate-hike-could-do-your-bond-portfolio
Bonds	Bond Liquidity—Factors to Consider and Questions to Ask	https://www.finra.org/investors/alerts/bond-liquidity-factors-questions
Bonds	Catastrophe Bonds and Other Event-Linked Securities	https://www.finra.org/investors/alerts/catastrophe-bonds-and-other-event-linked-securities
Bonds	Municipal Bonds—Important Considerations for Individual Investors	https://www.finra.org/investors/alerts/municipal-bonds-important-considerations-individual-investors
Bonds	Structured Notes With Principal Protection: Note the Terms of Your Investment	https://www.finra.org/investors/alerts/structured-notes-principal-protection-note-terms-your-investment
Exchange-Traded Funds (ETFs)	Exchange-Traded Notes—Avoid Unpleasant Surprises	https://www.finra.org/investors/alerts/exchange-traded-notes-avoid-unpleasant-surprises
Exchange-Traded Funds (ETFs)	Leveraged and Inverse ETFs: Specialized Products with Extra Risks for Buy-and-Hold Investors	https://www.finra.org/investors/alerts/leveraged-and-inverse-etfs-specialized-products-extra-risks-buy-and-hold-investors
Exchange-Traded Funds (ETFs)	Leveraged and Inverse ETFs: Specialized Products with Extra Risks for	https://www.finra.org/investors/alerts/leveraged-and-inverse-etfs-specialized-products-extra-risks-buy-and-hold-investors



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Exchange-Traded Funds (ETFs)	Updated: Mutual Fund Breakpoints: A Break Worth Taking	https://www.finra.org/investors/alerts/mutual-fund-breakpoints-break-worth-taking
Fraud	Beware of Stock Fraud in the Wake of Hurricanes	https://www.finra.org/investors/alerts/beware-hurricane-stock-fraud
Fraud	Broker Imposter Scams: Remember To Ask And Check	https://www.finra.org/investors/alerts/broker-imposter-scams
Fraud	Initial Coin Offerings (ICOs)—What to Know Now and Time-Tested Tips for Investors	https://www.finra.org/investors/alerts/icos-what-know-now
Fraud	Advance-Fee Frauds Keep Dropping the FINRA Name—Don't Fall for "Regulator" Imposter Ploys	https://www.finra.org/investors/alerts/dont-fall-regulator-imposter-ploys
Fraud	Imposter Scams: Don't Be Fooled By "Guarantees" or Money-Making Pitches from "Regulators"	https://www.finra.org/investors/alerts/imposter-scams-dont-be-fooled-guarantees-or-money-making-pitches-regulators
Fraud	Don't Fall for Cryptocurrency-Related Stock Scams	https://www.finra.org/investors/alerts/cryptocurrency-related-stock-scams
Fraud	Initial Coin Offerings: Know Before You Invest	https://www.finra.org/investors/alerts/initial-coin-offerings-know-you-invest



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Fraud	Tools of the Fraud Trade: Phones and Emotions	https://www.finra.org/investors/alerts/phones-and-emotions
Fraud	Sorry, This One's Not a Winner: Don't Get Fooled by a Lottery Scam	https://www.finra.org/investors/alerts/dont-get-fooled-lottery-scam
Fraud	"Phishing" and Other Online Identity Theft Scams: Don't Take the Bait	https://www.finra.org/investors/alerts/phishing-and-other-online-identity-theft-scams-dont-take-bait
Investing	It Pays to Pay Attention to Your Brokerage Account Statements	https://www.finra.org/investors/alerts/pay-attention-brokerage-account-statements
Investing	Three Tips for World Investor Week 2019	https://www.finra.org/investors/alerts/world-investor-week-2019
Investing	Social Sentiment Investing Tools—Think Twice Before Trading Based on Social Media	https://www.finra.org/investors/alerts/social-sentiment-investing-tools
Investing	Initial Coin Offerings (ICOs)—What to Know Now and Time-Tested Tips for Investors	https://www.finra.org/investors/alerts/icos-what-know-now
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Investing	Smart Beta—What You Need to Know	https://www.finra.org/investors/alerts/smart-beta-what-you-need-know
Investing	Automated Investment Tools	https://www.finra.org/investors/alerts/automated-investment-tools
Investing	10 Tips to Keep Track of Your Investments	https://www.finra.org/investors/alerts/10-tips-keep-track-your-investments
Investing	When Trading Stops: What You Need to Know About Halts, Suspensions and Other Interruptions	https://www.finra.org/investors/alerts/when-trading-stops-what-you-need-know-about-halts-suspensions-and-other-interruptions
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Investing	"China" Stocks—Look Beyond the Name Before You Invest	https://www.finra.org/investors/alerts/china-stocks-look-beyond-name-you-invest
Investing	The Grass Isn't Always Greener—Chasing Return in a Challenging Investment Environment	https://www.finra.org/investors/alerts/grass-isnt-always-greener-chasing-return-challenging-investment-environment
Investing	Take Control of Your Financial Future: Tips to Think About at Tax Time and	https://www.finra.org/investors/alerts/take-control-your-financial-future-tips-think-about-tax-time-and-beyond



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Investing	Bearing Up in a Bear Market: You Still Need to Open Your Account Statements	https://www.finra.org/investors/alerts/bearing-bear-market-you-still-need-open-your-account-statements
Investing	Weathering Tough Financial Times— The Long-term Costs of Quick Cash	https://www.finra.org/investors/alerts/weathering-tough-financial-times-long-term-costs-quick-cash
Margin and Borrowing	Investing with Borrowed Funds: No “Margin” for Error	https://www.finra.org/investors/alerts/investing-borrowed-funds-no-margin-error
Margin and Borrowing	Stock-Based Loan Programs: What Investors Need to Know	https://www.finra.org/investors/alerts/stock-based-loan-programs-what-investors-need-know
Money Market Account	Treasury's Guarantee Program for Money Market Mutual Funds: What You Should Know	https://www.finra.org/investors/alerts/treasurys-guarantee-program-money-market-mutual-funds-what-you-should-know
Mutual Funds	Closed-End Fund Distributions: Where is the Money Coming From?	https://www.finra.org/investors/alerts/closed-end-fund-distributions-where-money-coming
Mutual Funds	Alternative Funds Are Not Your Typical Mutual Funds	https://www.finra.org/investors/alerts/alternative-funds-are-not-your-typical-mutual-funds



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Mutual Funds	Class B Mutual Fund Shares: Do They Make the Grade?	https://www.finra.org/investors/alerts/class-b-mutual-fund-shares-do-they-make-grade
Mutual Funds	Updated: Understanding Mutual Fund Classes	https://www.finra.org/investors/alerts/understanding-mutual-fund-classes
Mutual Funds	Net Asset Value Transfers: Look Before You Leap Into Another Mutual Fund	https://www.finra.org/investors/alerts/net-asset-value-transfers-look-you-leap-another-mutual-fund
Mutual Funds	Principal-Protected Funds—Security Has a Price	https://www.finra.org/investors/alerts/principal-protected-funds-security-has-price
Mutual Funds	Updated: Mutual Fund Breakpoints: A Break Worth Taking	https://www.finra.org/investors/alerts/mutual-fund-breakpoints-break-worth-taking
Mutual Funds	Mutual Fund Breakpoints: Are You Owed a Refund?	https://www.finra.org/investors/alerts/mutual-fund-breakpoints-are-you-owed-refund
Private Placements	Private Placements—Evaluate the Risks before Placing Them in Your Portfolio	https://www.finra.org/investors/alerts/private-placements-evaluate-risks-placing-them-your-portfolio
Private Placements	Brokerage Firm Private Securities Offerings: Buying Your Brokerage	https://www.finra.org/investors/alerts/brokerage-firm-private-securities-offerings-buying-your-brokerage
Promissory Notes	Promissory Notes Can Be Less Than Promised	https://www.finra.org/investors/alerts/promissory-notes-can-be-less-promised



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Retirement	Reverse Mortgages: Avoiding a Reversal of Fortune	https://www.finra.org/investors/alerts/reverse-mortgages-avoiding-reversal-fortune
Retirement	The IRA Rollover: 10 Tips to Making a Sound Decision	https://www.finra.org/investors/alerts/ira-rollover-10-tips-making-sound-decision
Retirement	Why Leave Money on the Table—Make the Most of Your Employer's 401(k) Match	https://www.finra.org/investors/alerts/why-leave-money-table-make-most-your-employers-401k-match
Retirement	401(k) Debit Cards—Think Before You Swipe	https://www.finra.org/investors/alerts/401k-debit-cards-think-you-swipe
Retirement	Putting Too Much Stock in Your Company—A 401(k) Problem	https://www.finra.org/investors/alerts/putting-too-much-stock-your-company-401k-problem
Retirement	Look Before You Leave: Don't Be Misled By Early Retirement Investment Pitches That Promise Too Much	https://www.finra.org/investors/alerts/look-you-leave-dont-be-misled-early-retirement-investment-pitches-promise-too-much
Retirement	Think Twice Before Cashing Out Your 401(k)	https://www.finra.org/investors/alerts/think-twice-cashing-out-your-401k
Retirement	Updated: Required Minimum Distributions—Common Questions About IRA Accounts	https://www.finra.org/investors/alerts/rmds-questions-about-ira-accounts
Trading Securities	Stock Up on Information Before Buying Stock	https://www.finra.org/investors/alerts/stock-information-buying-stock



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Trading Securities	Crowdfunding and the JOBS Act: What Investors Should Know	https://www.finra.org/investors/alerts/crowdfunding-and-jobs-act
Trading Securities	Customer Advisory Centers—What Investors Need to Know	https://www.finra.org/investors/alerts/customer-advisory-centers-what-investors-need-know
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Trading Securities	Companies in Bankruptcy Rarely Make Blockbuster Investments	https://www.finra.org/investors/alerts/companies-bankruptcy-rarely-make-blockbuster-investments
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Trading Securities	Protect Your Online Brokerage Account: Safety Should Come First When Logging In and Out	https://www.finra.org/investors/alerts/protect-your-online-brokerage-account